VERIFIED STATEMENT CLAIMING SMALL ENTITY STATU	S
(37 CFR 1.9(F) & 1.27(C)—SMALL BUSINESS CONCERN	

Applicant or Patentee: Michael D. Myers et al. Application Serial or Patent No.: 09/153,786
Filed or Issued: September 16, 1998 Title: DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM
I hereby declare that I ang [] the owner of the small business concern identified below. [X] an official of the small business concern empowered to act on behalf of the concern identified below.
NAME OF SMALL BUSINESS CONCERN: Health Informatics International, Inc. ADDRESS OF SMALL BUSINESS CONCERN: 4216 Katella Avenue Los Alamitos, California 90720
I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.
I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in: [X] the specification filed herewith with title as listed above. [] application identified above. [] the patent identified above.
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
Each person, concern or organization having any rights in the invention is listed below: [X] no such person, concern, or organization exists. [] each such person, concern or organization is listed below
Separate verified statements are required from each named person, concern or organization having rights to the invention averting to their status as small entities. (37 CFR 1.27)
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after that date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))
NAME OF PERSON SIGNING Michael D. Myers TITLE OF PERSON IF OTHER THAN OWNER President ADDRESS OF PERSON SIGNING 4216 Katella Avenue, Los Alamitos, California 90720
SIGNATURE mutal At Inform DATE 3/3/45

Date

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b)—INDEPENDENT INVENTOR

Docket No. 12606

Applicant or Patentee: Michael D.	Myers et al.	
Application Serial or Patent No.: 0	9/153,786	
Filed or Issued: September 16, 19		
Title: DOCUMENT CONVERSION	AND NETWORK DATABASE	SYSTEM
As a below named inventor, I here in 37 CFR 1.9(c) for the purposes described in:		
[X] the specification filed herewi[] application identified above.[] the patent identified above.	th with title as listed above.	
I have not assigned, granted, co contract or law to assign, grant, co who would not qualify as an indep made the invention, or to any con- under 37 CFR 1.9(d) or a nonprof	onvey or license, any rights in t eendent inventor under 37 CFR cern which would not qualify as	he invention to any person 1.9(c) if that person had a a small business concern
Each person, concern or organizationsed or am under an obligation any rights in the invention is listed	n under contract or law to assig	
[] No such person, concern, or [X] Each such person, concern	-	
Health Informatics Internatio 4216 Katella Avenue, Los A		
Separate statements are require rights to the invention stating their		
I acknowledge the duty to file, in status resulting in loss of entitlement the earliest of the issue fee or any small entity is no longer appropria	ent to small entity status prior to maintenance fee due after the	o paying, or at time of paying,
Michael D. Myers NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
muchal & min		
Signature of Inventor	Signature of Inventor	Signature of Inventor

Date

Date

rdacen sahsanda

<u>7-3-99</u> Date

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STATEMENT CLAIMING SM	ALL ENTITY STATUS	Docket No. 12606
(37 CFR 1.9(f) & 1.27(b)—INDE		
(6, 6) (1, 1,6), (2, 1,2) (2, 1,2)		
Applicant or Patentee: Michael (D. Myers et al.	
Application Serial or Patent No.:	09/153,786	
Filed or Issued: September 16,	1998	
Title: DOCUMENT CONVERSION	<u>ON AND NETWORK DATABAS</u>	
As a below named inventor, I he in 37 CFR 1.9(c) for the purpose described in:	ereby state that I qualify as an i es of paying reduced fees to th	ndependent inventor as defined e Patent and Trademark Office
[X] the specification filed here[] application identified above[] the patent identified above	e.	
I have not assigned, granted, contract or law to assign, grant, who would not qualify as an ind made the invention, or to any counder 37 CFR 1.9(d) or a nonpr	ependent inventor under 37 CF oncern which would not qualify	n the invention to any person FR 1.9(c) if that person had as a small business concern
Each person, concern or orgalicensed or am under an obligate any rights in the invention is list		ed, granted, conveyed, or sign, grant, convey, or license
[] No such person, concern, [X] Each such person, concer	or organization exists. n or organization is listed belov	w.
Health Informatics Interna 4216 Katella Avenue, Los	•	
Separate statements are requirights to the invention stating th	uired from each named person, eir status as small entities. (37	concern or organization having CFR 1.27)
I acknowledge the duty to file status resulting in loss of entitle the earliest of the issue fee or a small entity is no longer approp	any maintenance fee due after	r to paying, or at time of paying,
Charles P. Christian	Derrick K. Bennett	Mario C. Murga
Charles R. Christian NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
TO THE OF THE PERSON OF THE PE	TO WILL OF HITTERS ON	
(0/1/11/V/1.	7	4
Signature of Inventor	Signature of Inventor	Signature of Inventor
O'BHAILING OF HITE CHILOF	- Signature of historical	

Date

TOPHESTER STREET

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b)—INDEPENDENT INVENTOR

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Docket	No.	_12606

(0.00.11.10(1) & 1.27(2) 1110	<u> </u>	
Applicant or Patentee: Michae Application Serial or Patent No Filed or Issued: September 16 Title: DOCUMENT CONVERS	o.: <u>09/153,786</u>	ASE SYSTEM
· · · · · · · · · · · · · · · · · · ·	• • •	n independent inventor as defined the Patent and Trademark Office
[X] the specification filed her[] application identified about[] the patent identified about		• •
contract or law to assign, gran who would not qualify as an in made the invention, or to any	dependent inventor under 37 (in the invention to any person CFR 1.9(c) if that person had fy as a small business concem
		gned, granted, conveyed, or assign, grant, convey, or license
[] No such person, concern [X] Each such person, conce	n, or organization exists. em or organization is listed bel	ow.
Health Informatics Intern 4216 Katella Avenue, Lo		
	quired from each named perso heir status as small entities. (3	n, concern or organization having 7 CFR 1.27)
status resulting in loss of entit	any maintenance fee due afte	notification of any change in ior to paying, or at time of paying, or that date on which status as a
Charles R. Christian NAME OF INVENTOR	Derrick K. Bennett NAME OF INVENTOR	Mario C. Murga NAME OF INVENTOR
Signature of Inventor	Signature of Inventor	Signature of Inventor
Date	Date	Date

DECLARATION

Utility Application

DOCKET INFORMATION
12606

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM, the specification of which

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- is attached hereto.
- was filed on September 16, 1998 as

 Application Serial No. 09/153,786

 and was amended on (If applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate; or of any PCT international application having a filing date before that of the application on which priority is claimed.

Application Number	Application Number Country		er Country Date of Filing			Priority Claimed Yes-/ No-/		
None				,				
				:				

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number	Date of Filing	Status — Patented, Pending or Abandoned
None		

Direct all correspondence to:

Stephen R. Seccombe Sheldon & Mak 290 North "D" Street, Suite 503 San Bernardino, CA 92401

Tel.: (909) 889-3649 Fax: (909) 889-3649

Name of Sole or	First Inventor						-
Given N	ame (first and middle (if an	y))			Family Na	me or Surname	
Michael D.				Myers			
Inventor's Signature	× milnel I	200		· · · · · · · · · · · · · · · · · · ·		Date	3/3/90
Residence: City	Huntington Beach	State	CA	Country	US	Citizenship	US
Post Office Address	4216 Katella Avenu	ie .		*	/		
Post Office Address					· · · · · · · · · · · · · · · · · · ·		
City	Los Alamitos	State	CA	Zip	90720	Country	US

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) attached hereto.

ADDITIONAL INVENTOR SHEET

Name of Second Given Name	(first and middle (if	fany))	-		Family Nam	e or Sumame	
Charles R.			Christian				
Inventor's Signature	Chil	i. 1	/ 🗸	1st	\	Date	3.3-99
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townse	end Ave	enue				·
Post Office Address				· · · · · ·	· · · · · · · · · · · · · · · · · · ·		
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

Name of Third In	ventor					*		
Given Name (first and middle (if any))				Family Name or Surname				
Derrick K.		Bennett						
Inventor's Signature	Donie	/ 🛫		,	THE	Date	3-3-49	
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US	
Post Office Address	5001 Townsend Avenue							
Post Office Address		<u> </u>						
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US	

Name of Fourth	Inventor								
Given Name (first and middle (if any))					Family Name or Surname				
Mario C.					Murga				
Inventor's Signature						Date			
Residence: City	La Mirada	State	CA	Country	US	Citizenship	US		
Post Office Address	16314 Fieldo	16314 Fieldcrest Court							
Post Office Address									
City	La Mirada	State	CA	Zip	90638	Country	us		

ADDITIONAL INVENTOR SHEET

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Given Name	e (first and middle (i	f any))			Family Nam	e or Surname	
Charles R.			Christian			;	
Inventor's Signature	ч			<u> </u>		Date	<u></u>
Residence: City	Eagle Rock	State	CA	Country	us	Citizenship	US
Post Office Address	5001 Townsend Avenue						100
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	US

Name of Third Ir	nventor						
Given Name	e (first and middle (i	f any))			Family Nam	e or Surname	
Derrick K.				Bennett			
Inventor's Signature		<u> </u>		.· !		Date	,
Residence: City	Eagle Rock	State	CA	Country	US	Citizenship	US
Post Office Address	5001 Townsend Avenue						
Post Office Address							
City	Eagle Rock	State	CA	Zip	90041-2216	Country	us

Name of Fourth	Inventor									
Given Name		Family Name or Surname								
Mario C.	lario C.					Murga				
Inventor's Signature	1 m	-	7, /-	/ '\		Date	3/3/99			
Residence: City	La Mirada	State	CA	Country	US	Cltizenship	US			
Post Office Address	16314 Fieldcrest Court									
Post Office Address				· · · · · · · · · · · · · · · · · · ·		<u> </u>				
City	La Mirada	State	CA	Zip	90638	Country	US			

DOCKET	INFORMATION
=12	606

Hea	alth Informatics International, Inc. , owner of three inventors' contributions to the application							
for U	nited States Letters Patent for an improvement in <u>DOCUMENT CONVERSION AND NETWORK</u>							
	ABASE SYSTEM having Serial No. 09/153,786 , filed September 16 , 19 98 ,							
ру	Michael D. Myers, Charles R. Christian, Derrick K. Bennett, and Mario C. Murga_, and							
Mich	ael D. Myers, the remaining inventor, in his individual capacity							
this a	ereby appoint as attorneys of record with full power of substitution and revocation, to prosecute application and transact all business in the Patent and Trademark Office connected therewith: ey G. Sheldon, Reg. No. 27,953; Stephen R. Seccombe, Reg. No. 31,136; Danton K. Mak, Reg. 31,695; and Denton L. Anderson, Reg. 30,153.							
Ser	nd Correspondence to: Sheldon & Mak Direct Telephone Calls to:							
	290 N. D St., Ste. 503 Stephen R. Seccombe							
L	San Bernardino, CA 92401 (909) 889-3649							
made are b talse 1001 valid	cation, and I am authorized to make this appointment on behalf of Health Informatics national, Inc., owner of the remainder of the application, and I further declare that all statements herein of my own knowledge are true and that all statements made on information and belief relieved to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment, or both, under section of Title 18 of the United States Code, and that such willful false statements may jeopardize the ity of the application or any patent issuing thereon.							
L.I L.I E., C	Full Name of Individual Owner Michael D. Myers							
	Post Office Address							
±	4216 Katella Avenue, Los Alamitos, California 90720							
	Signature of Owner Date 3/3/9/27							
	Full Name of Declarafit							
	If owner is corporation, partnership or association Michael D. Myers							
Title of Declarant President								
	Address of Declarate							
	Declarant 4216 Katella Avenue, Los Alamitos, California 90720							
	Signature of Declarant 7							

ASSIGNMENT

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WHEREAS, We, Charles R. Christian, residing at 5001 Townsend Avenue, Eagle Rock, California 90041-2216, Derrick K. Bennett, residing at 5001 Townsend Avenue, Eagle Rock, California 90041-2216, and Mario C. Murga, residing at 16314 Fieldcrest Court, La Mirada, California 90638, have invented new and useful improvements disclosed in an application for United States Letters Patent titled DOCUMENT CONVERSION AND NETWORK DATABASE SYSTEM, filed September 16, 1998, as application Serial No. 09/153.786:

AND WHEREAS Health Informatics International, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, having a principal place of business at 4216 Katella Avenue, Los Alamitos, California 90720 (hereafter, together with any successors, legal representatives or assigns thereof, called "Assignee") is desirous of acquiring the exclusive right, title, and interest in and to said invention, and in and to the Letters Patent granted and issued therefor;

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NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to Assignee our entire right, title and interest in and to said improvements, and said applications and all divisions, substitutions, continuations and continuations-in-part thereof, and all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protections of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all extensions, renewals and reissues thereof) granted for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to Assignee in accordance with the terms of this assignment;

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will promptly communicate to Assignee any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuations-in-part, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee to obtain and enforce proper patent protection for said improvements in all countries as requested by Assignee, all reasonable expenses and reasonable compensation for time being reimbursed by Assignee.

IN TESTIMONY WHEREOF, I hereunto set my hand this 3 day of March
1998.
Date Charles R. Christian
STATE OF CALIFORNIA)
STATE OF CALIFORNIA)) ss. COUNTY OF Les Angeles)
40.0 a. It stars the understand a Notani Dublic for

On 3 - 3 , 19 9 9, before the undersigned, a Notary Public for the State and County aforesaid, personally appeared Charles R. Christian, known to me expreved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the above assignment, and acknowledged that he executed the same.



Notary Public

	IN TESTIMONY	WHEREOF, I hereur	nto set my hand this <u></u>	_ day of Maich
1998.				
<u> </u>	Derrick K.	Bennett		·
STATE OF	F CALIFORNIA)		
COUNTY	OF Los Angeles) ss.)		
proved to subscribe	and County aforesa	aid, personally appea f satisfactory evidence	efore the undersigned, ared Derrick K. Bennett, e to be the person who viedged that he execute	, known to me er se name is
. 0		•		
= <u>=</u>			P+ 1/3	
			Notary Pu	blic

IN TESTIMONY WHEREOF, I hereunto set my hand this ____ day of 1998. Date STATE OF CALIFORNIA) ss. COUNTY OF (US ANGRES) 19 (19, before the undersigned, a Notary Public for the State and County aforesaid, personally appeared Mario C. Murga, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the above assignment, and acknowledged that he executed the same. JENNIFER A. REEVES Comm. # 1201737 TARY PUBLIC - CALIFORNIA Los Angeles County Comm. Expires Nov. 15, 2002 LJ 4., 4... 4... 4...



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JUNE 02, 1999

PTAS

SHELDÔN & MAK STEPHEN R. SECCOMBE 290 NORTH "D" STREET, SUITE 503 SAN BERNARDINO, CA 92401



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD. FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE. ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/12/1999

REEL/FRAME: 9820/0986

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHRISTIAN, CHARLES R.

DOC DATE: 03/03/1999

ASSIGNOR:

BENNETT, DERRICK K.

DOC DATE: 03/03/1999"

ASSIGNOR:

MURGA, MARIO C.

DOC DATE: 03/03/1999

ASSIGNEE: - -

HEALTH INFORMATICS INTERNATIONAL,

INC.

4216 KATELLA AVENUE

LOS ALAMITOS, CALIFORNIA 90720

SERIAL NUMBER: 09153786

FILING DATE: 09/16/1998

PATENT NUMBER:

ISSUE DATE:

FORM PTO-15.5 REC DA	03-22-1999				
· · · · · · · · · · · · · · · · · · ·	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office				
To the Honorable Commissioner of Patents and	100989028 inal documents or copy thereof				
Name of conveying party(ies):	Name and address of receiving party(ies)				
Charles R. Christian Derrick K. Bennett Mario C. Murga	Name: <u>Health Informatics International, Inc.</u> Internal Address:				
Additional name(s) of conveying party(les) attached to 8 No	÷				
3. Nature of conveyance:	Street Address: 4216 Katella Avenue				
	,				
☐ Security Agreement ☐ Change of Name	City: Los Alamitos State: CA ZIP: 90720				
Other	Additional numbers attached? □ Yes ❷ No				
4. Application number(s) or patent number(s):					
情制is document is being filed together with a new applicati	on, the execution date of the application is:				
Patent Application No.(s)	B. Patent No.(s)				
	rs attached? □ Yes ≅ No				
5 Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1				
Mame: Stephen R. Seccombe	7. Total fee (37 CFR 3.41)\$40.00				
Internal Address: Sheldon & Mak	⊠ Enclosed				
	☐ Authorized to be charged to deposit account				
Street Address: 290 North "D" Street,	8. Deposit account number:				
Suite 503	(Attach duplicate copy of this page if paying by deposit account)				
City: San Bernardino State: CA ZIP: 92401	(Altacit deprisate copy of the page in paying by dependence.)				
08/16/1999 CVORACHA 90000051 09153786	USE THIS SPACE				
7 FC:581 9 Statement and Signature To the best of my knowledge and belief, the foregoing information true copy of the original document.	ion is true and correct and any attached copy is a				
Stephen R. Seccombe Name of Person Signing	Signature B Musch 1999 Date				
Total number of pages including cover sheet, attachments, and document:5					